

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Governance Committee	Comments
Council Wide	Staff Appraisal System	Medium	Cancelled				Brought Forward from 2016/17 plan. To be considered in 2019/20 plan
Council Wide	IT Disaster Recovery and Business Continuity	High	Cancelled				Cancelled based on Information Governance Board decision to prevent duplication of assurance. Management led review in progress.
Council Wide	Officers' Code of Conduct	High	Final Report Issued	Satisfactory	Limited	18/09/2017	
Council Wide	Complaints Handling	High	Final Report Issued	Satisfactory	Satisfactory	20/11/2017	
Council Wide	Scheme of Delegation	High	Planned				
Council Wide	Together Gloucester	High	Planned				
Council Wide	Network Access Controls	High	Audit in Progress				
Council Wide	Communications Service	High	Audit in Progress				
Council Wide	Cyber Security (Ransomware)	High	Planned				New activity
Council Wide	ICT Assurance Mapping (including policy review)	High	Audit in Progress				New activity (consultancy)
Communities	Choice Based Lettings	High	Final Report Issued	Satisfactory	Satisfactory	20/11/2017	
Communities	Project Solace	High	Final Report Issued	Satisfactory	Limited	22/01/2018	
Communities	Homelessness	High	Deferred				Brought Forward from 2016/17 plan. To be considered in 2018/19 plan
Cultural and Trading	Cemetery and Crematorium Services	High	Final Report Issued	Substantial	Substantial	20/11/2017	
Cultural and Trading	Marketing Gloucester Ltd (MGL) follow up review	High	Final Report Issued	Satisfactory	Satisfactory	18/09/2017	
Cultural and Trading	Marketing Gloucester Ltd (MGL) Value for Money review	High	Final Report Issued	Not Applicable	Not Applicable	18/09/2017	
Cultural and Trading	Museums	Medium	Cancelled				Reprioritised to be considered for 2019/20 plan
Cultural and Trading	Box office ticketing and shop-café-bar System	High	Final Report Issued	Satisfactory	Satisfactory	20/11/2017	
Cultural and Trading	Online Lottery	Medium	Final Report Issued	Satisfactory	Satisfactory	12/03/2018	
Place	CCTV	Medium	Cancelled	Not Applicable	Not Applicable		Reprioritised to be considered for 2018/19 plan
Place	Kings Quarter Development	High	Final Report Issued	Substantial	Substantial	12/03/2018	
Place	Licensing (Business Licenses)	Medium	Cancelled				Management led review to be completed. Area to be considered as part of 2018/19 plan.
Place	Planning - Processing and Performance	High	Deferred				Deferred to 2018/19 plan, due to requested prioritisation of the planning appeal review (new activity)
Place	Planning Appeal	High	Final Report Issued	Not Applicable	Not Applicable	20/11/2017	New activity
Place	Amey - Streetcare contract follow up	High	Final Report Issued	Not Applicable	Not Applicable	20/11/2017	
Policy and Resources	Accounts Payable	High	Audit in Progress				
Policy and Resources	Accounts Receivable	High	Deferred				To be considered in 2018/19 plan
Policy and Resources	Benefits Uprating	High	Final Report Issued	Substantial	Substantial	18/09/2017	
Policy and Resources	Benefits - Key Controls 2017/18	High	Final Report Issued	Satisfactory	Satisfactory	22/01/2018	
Policy and Resources	Blackfriars Priory Turnover Certification	High	Final Report Issued	Not Applicable	Not Applicable	18/09/2017	
Policy and Resources	Capital Accounting	High	Deferred				To be considered in 2018/19 plan
Policy and Resources	Cash and Bank	High	Draft Report Issued				
Policy and Resources	Council Tax - Setting the Base 2017/18	High	Final Report Issued	Substantial	Substantial	18/09/2017	
Policy and Resources	Local Taxation - Key Financial Controls 2017/18	High	Final Report Issued	Substantial	Substantial	22/01/2018	Council Tax and National Non-Domestic Rates (NNDR) combined internal audit
Policy and Resources	Councillors' Community Fund	Medium	Final Report Issued	Substantial	Satisfactory	20/11/2017	
Policy and Resources	Electoral Service	Medium	Cancelled				GDPR project to review security of data within the Council (original requested audit scope)
Policy and Resources	New Financial Management System	High	Final Report Issued	Satisfactory	Satisfactory	18/09/2017	Brought Forward from 2016/17 plan
Policy and Resources	Main Accounting System (General Ledger) 2017/18	High	Final Report Issued	Substantial	Substantial	22/01/2018	
Policy and Resources	National Non Domestic Rates (NNDR) Multipliers 2017/18	High	Final Report Issued	Substantial	Substantial	18/09/2017	
Policy and Resources	Procurement	High	Audit in Progress				
Policy and Resources	Payroll	High	Planned				
Policy and Resources	Housing Discretionary Payments	High	Final Report Issued	Satisfactory	Satisfactory	12/03/2018	New activity requested by Audit and Governance Committee
	Exempt report	High	Final Report Issued	Satisfactory	Satisfactory	20/11/2017	Brought Forward from 2016/17 plan